

Durham Research Online

Deposited in DRO:

19 November 2019

Version of attached file:

Accepted Version

Peer-review status of attached file:

Peer-reviewed

Citation for published item:

Mercuri, Marco and Scuderi, Marco Maria and Tesei, Telemaco and Carminati, Eugenio and Collettini, Cristiano (2017) 'Strength evolution of simulated carbonate-bearing faults : the role of normal stress and slip velocity.', *Journal of structural geology*, 109 . pp. 1-9.

Further information on publisher's website:

<https://doi.org/10.1016/j.jsg.2017.12.017>

Publisher's copyright statement:

© 2018 This manuscript version is made available under the CC-BY-NC-ND 4.0 license
<http://creativecommons.org/licenses/by-nc-nd/4.0/>

Additional information:

Use policy

The full-text may be used and/or reproduced, and given to third parties in any format or medium, without prior permission or charge, for personal research or study, educational, or not-for-profit purposes provided that:

- a full bibliographic reference is made to the original source
- a [link](#) is made to the metadata record in DRO
- the full-text is not changed in any way

The full-text must not be sold in any format or medium without the formal permission of the copyright holders.

Please consult the [full DRO policy](#) for further details.

1 **Strength evolution of simulated carbonate-bearing faults: the role of normal**
2 **stress and slip velocity.**

3 Marco Mercuri^{a*}, Marco Maria Scuderi^a, Telemaco Tesei^{b,1}, Eugenio Carminati^a, Cristiano
4 Collettini^{a,b}

5

6 Affiliation addresses:

7 ^a Dipartimento di Scienze della Terra, Sapienza Università di Roma, Piazzale Aldo Moro 5, 00185,
8 Rome, Italy

9 ^b Istituto Nazionale di Geofisica e Vulcanologia, Via di Vigna Murata 605, 00143, Rome, Italy

10 ¹ Present address: Rock Mechanics Laboratory, Earth Sciences Department, Durham University,
11 South Road, Durham, DH1 3LE, UK

12

13 E-mail addresses: marco.mercuri@uniroma1.it (M. Mercuri), marco.scuderi@uniroma1.it (M. M.
14 Scuderi), telemaco.tesei@durham.ac.uk (T. Tesei), eugenio.carminati@uniroma1.it (E. Carminati),
15 cristiano.collettini@uniroma1.it (C. Collettini)

16

17 *corresponding author: tel. +393342844933; e-mail: marco.mercuri@uniroma1.it; postal address:
18 Piazzale Aldo Moro 5, Rome, Italy

19

20 keywords: calcite; microstructures; carbonate-bearing faults; friction

21

22 **Abstract**

23 A great number of earthquakes occur within thick carbonate sequences in the shallow crust. At the
24 same time, carbonate fault rocks exhumed from a depth < 6 km (i.e., from seismogenic depths) exhibit
25 the coexistence of structures related to brittle (i.e. cataclasis) and ductile deformation processes (i.e.
26 pressure-solution and granular plasticity). We performed friction experiments on water-saturated
27 simulated carbonate-bearing faults for a wide range of normal stresses (from 5 to 120 MPa) and slip
28 velocities (from 0.3 to 100 $\mu\text{m/s}$). At high normal stresses ($\sigma_n > 20$ MPa) fault gouges undergo strain-
29 weakening, that is more pronounced at slow slip velocities, and causes a significant reduction of
30 frictional strength, from $\mu = 0.7$ to $\mu = 0.47$. Microstructural analysis show that fault gouge weakening
31 is driven by deformation accommodated by cataclasis and pressure-insensitive deformation processes
32 (pressure solution and granular plasticity) that become more efficient at slow slip velocity. The
33 reduction in frictional strength caused by strain weakening behaviour promoted by the activation of
34 pressure-insensitive deformation might play a significant role in carbonate-bearing faults mechanics.

35 **1. Introduction**

36 The characterization of the mechanical behaviour of carbonate-bearing faults is crucial to
37 better understand the physical processes at the origin of earthquakes that nucleate or propagate
38 through thick carbonate sequences. Notable examples are provided by the Aigion event in 1995 in
39 Greece (Bernard et al., 1997), the Wenchuan earthquake in 2008 in China (Burchfiel et al., 2008) and
40 by several events occurring in Italy such as: the Umbria-Marche in 1997-98 (Miller et al., 2004;
41 Mirabella et al., 2008), the L'Aquila 2009 (e.g., Valoroso et al., 2014), the Emilia 2012 (Ventura and
42 Di Giovanbattista 2013; Govoni et al., 2014) and the 2016-17 seismic sequence in the Amatrice and
43 Norcia areas (Pizzi et al., 2017).

44 Deformation structures hosted in the outcrops of carbonate faults exhumed from < 6 km, i.e.
45 from crustal depths where most of the seismic sequences in Italy nucleate or propagate, provide the
46 opportunity to get insights into fault rocks and deformation mechanisms. Cataclastic processes that
47 induce grain size reduction and slip localization along millimetric-to-micron thick principal shear

48 zones are widespread (e.g., Storti et al., 2003; Agosta and Aydin, 2006; De Paola et al., 2008; Smith
49 et al., 2011; Collettini et al., 2014a). Cataclastic processes are often intimately associated with fluid
50 assisted dissolution-precipitation and low temperature plasticity (Koopman, 1983; Kennedy and
51 Logan, 1998; Tesei et al., 2013; Bullock et al., 2014; Wells et al., 2014; Viti et al., 2014). Diagnostic
52 structures of fluid assisted dissolution-precipitation typically consist in pressure-solution seams (Fig.
53 1A) forming anastomosing foliations and slip surfaces (Fig. 1B). On the other hand, the evidences of
54 granular plasticity are represented by twinning and sub-grains development (Fig. 1C; e.g., Kennedy
55 and Logan, 1998; Siman-Tov et al., 2013; Collettini et al., 2014a). These observations, indicate that
56 during fault activity different deformation mechanisms coexist and potentially control the resulting
57 frictional strength at depth. These mechanisms can be grouped into pressure-sensitive, i.e., cataclasis,
58 and pressure-insensitive, i.e., pressure solution flow and intracrystalline plasticity (Rutter, 1986).

59 Several experimental studies have investigated the effects of microscale deformation
60 processes on the mechanics of carbonate bearing fault zone. At sub-seismic slip velocities and room
61 temperature (i.e., $v < 1$ mm/s and $T \sim 25$ C°), it has been shown that deformation is mainly
62 accommodated by cataclasis through the localization of grain size reduction within the typical R-Y-
63 B zones, in some cases associated with P-foliation (e.g. Logan et al., 1979, 1992). In addition, some
64 studies have reported evidences for fluid-assisted dissolution and precipitation mechanisms (e.g.
65 Carpenter et al., 2016), and granular plasticity represented by the formation of dense aggregates of
66 nanograins (Tesei et al., 2017; Sagy et al., 2017). From these laboratory observations emerge the
67 coexistence of pressure sensitive and insensitive processes governing the deformation style of
68 carbonate-bearing simulated faults. This fault zone structure is commonly associated with high values
69 of steady-state friction (μ_{ss}), that usually range between $\mu_{ss} \sim 0.7$ (dry conditions) to $\mu_{ss} \sim 0.6$
70 (saturated conditions) (Verberne et al., 2010, 2014; Carpenter et al., 2016). However, it has been
71 shown that carbonate-bearing fault gouges can undergo significant frictional weakening under
72 specific boundary conditions where pressure-insensitive deformation mechanisms are expected to be
73 active, i.e., either high temperatures or slow deformation rates. For example, Verberne et al. (2015)

74 showed a decrease in the coefficient of friction with increasing temperature from $\mu_{ss} \sim 0.55$ at room
75 temperature to $\mu_{ss} \sim 0.4$ at 200 °C. Similarly, Carpenter et al. (2016) showed that the coefficient of
76 friction at high normal stresses (i.e., $\sigma_n = 100$ MPa) decreases from $\mu_{ss} \sim 0.65$ at 1 mm/s down to μ_{ss}
77 ~ 0.51 at 0.1 $\mu\text{m/s}$. From these studies emerge that the activation of pressure insensitive
78 micromechanical processes can decrease the frictional strength of carbonate-bearing fault zone with
79 important implication for earthquake nucleation. However, these observations are sporadic and
80 usually carried out from multiple stage experiments where the slip velocity is systematically varied
81 to interrogate the velocity dependence of friction and/or frictional re-strengthening (e.g., Verberne et
82 al., 2015; Carpenter et al., 2016). Each variation of slip velocity during the experiments can create a
83 competition between micromechanical processes at the grain scale. In this context, the final
84 microstructure is the sum of many processes that take place at different stages, making difficult to
85 link the overall mechanical behaviour with the deformation mechanisms that accommodate shear.

86 In this work, we aim to better characterize the evolution of frictional strength along carbonate-
87 bearing faults by performing shear experiments for a variety of normal stresses and slip velocities on
88 simulated fault gouges of Carrara Marble. We inform the mechanical data with detailed
89 microstructural analysis to shed light on the physico-chemical processes acting within carbonate-
90 bearing fault zones varying the boundary conditions.

91 **2. Methods**

92 We performed rock deformation experiments on powdered Carrara Marble ($> 98\%$ CaCO_3
93 content) to simulate a carbonate-bearing fault gouge and to investigate its frictional properties.
94 Experiments were conducted using a biaxial apparatus, BRAVA (Brittle Rock deformAtion Versatile
95 Apparatus; Collettini et al., 2014b), in the double-direct shear configuration (Fig. 2). In this
96 configuration two servo-controlled rams apply a horizontal and vertical load to the sample (Fig. 2A).
97 Load was measured with ± 0.03 kN accuracy load cells mounted at the extremity of both pistons and
98 in contact with the sample assembly (Fig. 2A). Linear Variable Displacement Transformers (Fig. 2A)
99 measured horizontal and vertical displacements with a precision of ± 0.1 μm . Both horizontal and

vertical displacements were corrected taking in account for the elastic stiffness of the loading frame. For horizontal loads, smaller than 50 kN, on the grounds of calibration tests (e.g., Colletini et al., 2014b), elastic stiffness was 125.363 MPa/mm, while at higher loads we considered a stiffness value of 416.558 MPa/mm due to the non-linear elastic deformation of the apparatus at small loads. For the vertical piston, elastic stiffness was 116.801 MPa/mm for loads smaller than 50 kN, and 301.461 MPa/mm for higher loads. During experiments, we recorded loads and displacements, both in the horizontal and vertical direction, with a sampling rate ranging from 1 to 100 Hz depending on the target slip velocity (we registered at least one measurement every micron of slip).

Carrara Marble was preliminary grinded and passed through a 125 μm sieve. All the particles that passed through the sieve were included in the starting material. Two identical, ~ 5 mm thick gouge layers were constructed upon stainless steel forcing blocks with nominal frictional contact area of 5 cm \times 5 cm and both were assembled with the central forcing block composing a symmetric assembly (Fig. 2B). To avoid slip at the interface between fault gouge and steel, and ensure that shear localizes within the gouge, the surfaces of the forcing blocks were machined with grooves 0.8 mm high and spaced 1 mm. To prevent excessive gouge extrusion during shear, a rubber membrane and steel plates were fixed below and laterally of the sample assembly respectively (Fig. 2B).

We conducted 24 experiments (Table 1) at room temperature (i.e., $\sim 25^\circ\text{C}$) and water saturated boundary conditions. As pore fluid, we used a CaCO_3 -equilibrated water solution to simulate a realistic pore fluid chemistry along shallow-crustal carbonate fault zones. For each experiment, once the assembly was positioned within BRAVA, it was left saturating within a flexible membrane containing CaCO_3 equilibrated water for 45 minutes under a normal load of 1 kN (Fig. 2B). At this stage, we increased the normal stress to the desired target value that ranged between 5 and 120 MPa, and left the sample to compact until a steady layer thickness was attained. The time for the compaction of the sample was ~ 15 minutes, depending on the target normal stress. The vertical ram was then advanced at constant displacement rate to apply shear stress and induce deformation within the sample. We conducted experiments for a range of shear velocities between 0.3 $\mu\text{m/s}$ and 100 $\mu\text{m/s}$

126 and a total shear displacement between 5 and 20 mm (Table 1). In addition, we performed unloading-
127 loading cycles every 5 mm of displacement (Fig. 3) to characterize stiffness and shear modulus of the
128 fault gouge but these data are not presented here.

129 At the end of each experiment, the deformed gouge layers were collected, impregnated with
130 epoxy resin and standard thin section were cut parallel to the slip direction for microstructural analysis
131 (optical microscope and Scanning Electron Microscope, SEM-backscattered electron mode). In
132 addition, gouge layers deformed in experiment b675 (see Table 1) were left drying and observed at
133 the SEM operated in secondary electron mode without the epoxy resin impregnation.

134 Normal stress (σ_n) was calculated dividing the applied normal load by the surface of the side
135 block (0.0025 m^2). Similarly, shear stress (τ) was calculated dividing vertical load by 0.005 m^2 (two
136 surfaces of application). We calculated the coefficient of friction dividing shear stress by normal
137 stress, and assuming no-cohesion for a powdered material. The values of the steady state friction
138 coefficients, μ_{ss} reported in the following, were measured as the average friction after the initial
139 loading phase (shear strain > 3), without considering the unloading-loading cycles (Fig. 3). For
140 experiments with a significant weakening after a shear strain of 3, this further evolution of friction
141 with strain is included as standard deviation from the mean value (e.g., vertical bars in Fig 4). Layer
142 thickness was calculated subtracting horizontal displacement values to the initial pre-shear value
143 measured using a calliper. In addition, we corrected layer thickness for geometrical thinning and
144 evaluated the shear strain accordingly (Scott et al., 1994).

145 **3. Results**

146 *3.1. Frictional behaviour*

147 The mechanical behaviour of simulated calcite fault gouges is controlled by applied normal
148 stress and imposed slip velocity. At low normal stress, e.g., $\sigma_n = 10 \text{ MPa}$, the shear strength reaches
149 a steady state value (τ_{ss}) after a few millimetres of slip and it remains nearly constant until the end of
150 the experiment (Fig. 3A). This trend is independent on the applied slip velocity (Fig. 3A) and defines
151 a steady state coefficient of friction (μ_{ss}) of about 0.65 (Figs. 3A and 4B). Differently from the

152 experiments at low normal stress, at high normal stress, e.g., $\sigma_n = 100$ MPa, we document that shear
153 strength evolves following three main stages. In stage one, after the initial nearly-elastic loading
154 phase, the shear strength reaches a peak value (τ_{peak} in Fig. 3B) that corresponds to a friction of ~ 0.6
155 that is independent of the applied slip velocity (Fig. 3B). With increasing displacement, during stage
156 two, we document a strain-weakening phase that depends on the imposed slip velocity. We observe
157 that at slow slip velocities the strain weakening phase is more pronounced when compared with
158 higher slip velocities (Fig. 3B). During stage three, fault gouge reaches a new steady state frictional
159 sliding regime. As a consequence of stage two, the new values of frictional strength at steady state
160 are lower for slower sliding velocities (Figs. 3B and 4B). For instance, at a slip velocity of $0.3 \mu\text{m/s}$
161 the corresponding steady state value of friction is ~ 0.5 , which is lower when compared to that at a
162 slip velocity of $10 \mu\text{m/s}$ where $\mu_{\text{ss}} \sim 0.6$ (Figs. 3B and 4B).

163 The relationship between shear and normal stresses, when analysed in a Coulomb diagram,
164 highlights two different regions (Fig. 4A). Below $\sigma_n \sim 20$ MPa, shear strength increases linearly with
165 normal stress and is independent of the imposed slip velocity (Fig. 4A). The Coulomb failure
166 envelope is described by a straight line with a slope $\mu = 0.64$ (solid black line in Fig. 4A), representing
167 the average coefficient of friction. Within this range of normal stresses, the coefficient of friction is
168 independent on slip velocity and is comprised between 0.62 ($\sigma_n = 5$ MPa, $v = 1 \mu\text{m/s}$) and 0.66 ($\sigma_n =$
169 10 MPa, $v = 10 \mu\text{m/s}$) (Fig. 4B). For normal stress higher than 20 MPa, the relationship between
170 shear strength and normal stress deviates from the linearity, in particular at slow slip velocity (Fig.
171 4A). Under the same imposed normal stress, slower slip velocities promote lower values of friction
172 (Fig. 4B) and this is more pronounced at higher normal stresses (Fig. 4B). For example, at $\sigma_n = 50$
173 MPa, μ_{ss} decreases by $\sim 11\%$ (from 0.63 to 0.56) for slip velocity decreasing from $100 \mu\text{m/s}$ to 0.3
174 $\mu\text{m/s}$, whilst at $\sigma_n = 100$ MPa μ_{ss} decreases by $\sim 19\%$ (from 0.61 to 0.49) for the same range of slip
175 velocities. For a fixed slip velocity, the steady-state friction decreases with increasing normal stress.
176 This trend is more pronounced at slower slip velocities (Fig. 4B). For example, at slip velocity of 10
177 $\mu\text{m/s}$, friction decreases by $\sim 5\%$ (from 0.62 to 0.59) for normal stress increasing from 50 to 100

178 MPa, whilst at 0.3 $\mu\text{m/s}$, friction decreases by $\sim 11\%$ (from 0.56 to 0.49) for the same range of normal
179 stresses (Fig 4B).

180 3.2. Microstructural observations

181 3.2.1. Low normal stress microstructures

182 At low normal stresses ($\sigma_n \leq 20$ MPa), deformation is localized in B and R_1 shear zones
183 (Logan, 1979) that are observed at both high, $v = 10$ $\mu\text{m/s}$ (Fig. 5A), and slow, $v = 0.3$ $\mu\text{m/s}$, slip
184 velocity (Fig. 5C). B and R_1 consist of 100 to 200 μm -thick shear zones characterized by higher grain
185 comminution when compared to the bulk gouge layer (Fig. 5B-D). In detail, these zones are
186 characterized by angular grains typically smaller than 10 μm (Fig. 5B-D), whilst in the surrounding
187 the grain size is larger and characterized by angular clasts with heterogeneous grain size distribution
188 (Fig. 5A-C) resembling the undeformed gouge. Comparing gouge layers sheared at the same normal
189 stress conditions but with different slip velocities, we do not observe substantial differences (Fig. 5).

190 3.2.2. High normal stress microstructures

191 The microstructures retrieved from experiments performed under high normal stresses ($\sigma_n >$
192 20 MPa) show dramatically different features when compared with low normal stresses experiments.
193 In general, we observe: 1) that shear deformation is distributed within the entire gouge, 2) the
194 development of a pervasive anastomosing foliation and, 3) the development of very sharp principal
195 slip zones with B geometry (Logan, 1979; Fig. 6A-B-C-D), associated with R_1 and Y fabric (Fig. 6A-
196 B-C; see also Fig. 7C). The pervasive foliation is oriented at high angles to the ideal normal stress
197 component of the shear couple (e.g. Ramsay, 1967) for both fast (Fig. 6A-B-E) and slow (Fig. 6C-D-
198 F) slip velocities, showing a characteristic S-shape (Berthé et al., 1979; see Fig. 6) Apart from the
199 common features mentioned above, only for the experiments at 10 $\mu\text{m/s}$, we observe pervasive grain
200 comminution throughout the entire sample, although a few relict grains with dimensions of hundreds
201 of microns are still present (Fig. 6A-B). At slow slip velocity (0.3 $\mu\text{m/s}$) larger grains are contained
202 within a finer matrix and grain size reduction tends to increase approaching the B shear surface (Fig.
203 6C-D).

204 Looking at the details of fault zone structure we document the following features. (1) Large
205 portions of the experimental faults are cemented (Fig. 7A). The cemented regions contain grain
206 aggregates that are reworked by cataclastic processes (Fig. 7A) and are particularly evident in the
207 sample collected at slow slip velocity (i.e., 0.3 $\mu\text{m/s}$). (2) The foliated zones consist of grains with
208 sutured boundaries and local indentations (Fig. 7B). (3) The presence of grains that are folded along
209 the pre-existing twinning planes (Fig 7C). Finally, when the principal slip zone is observed in plain
210 view, it reveals (4) the presence of smooth striations (Fig. 7D) and packages characterized by very
211 densely-packed nanoparticles with a polygonal geometry (10-150 nm in diameter; Fig. 7E).

212 **4. Discussion**

213 Our results show that the mechanical behaviour of simulated carbonate-bearing faults strongly
214 depends on the applied normal stress and is modulated by the imposed slip velocity (Figs. 3-4). A
215 marked change of behaviour occurs at a normal stress of ~ 20 MPa (Fig. 4) in agreement with previous
216 experiments carried out on intact (Paterson, 1958; Fredrich et al., 1989) and powdered (Carpenter et
217 al., 2016) Carrara Marble. In particular, at relatively low values of normal stress ($\sigma_n \leq 20$ MPa) we
218 observe a nearly constant steady-state shear strength that is not affected by accumulated shear
219 displacement and imposed slip velocity (Fig. 3A). This behaviour favours a linear relationship
220 between shear stress and normal stress described by a failure envelope with a slope of $\mu = 0.64$ (Fig.
221 4A), which is in general agreement with other studies on calcite-rich lithologies (e.g., Verberne et al.,
222 2010, 2014; Carpenter et al., 2014; Tesei et al., 2014; Chen et al., 2015). Furthermore, we observe
223 that deformation localizes within shear bands characterized by strong grain size reduction and with
224 B and R_1 geometries (Fig. 5). The coupling of the mechanical behaviour and microstructural
225 observations indicates that, at low normal stress, the mechanical behaviour is controlled by pressure-
226 sensitive deformation (i.e., cataclasis) with localization along B and R shear planes.

227 For the experiments performed at higher normal stress (i.e., $\sigma_n > 20$ MPa), we observe that
228 shear strength evolves in three stages with accumulated displacement, reaching a peak that is followed
229 by a strain-weakening phase before attaining a steady state value (Fig. 3B). As a result of this

behaviour, we document a non-linear relationship between shear and normal stress that is more pronounced at slow slip velocities (Fig. 4A). The observed weakening can be interpreted by considering the interplay of different processes: 1) cataclasis, 2) fluid-rock interaction, which favours fluid assisted dissolution and precipitation processes, and 3) intragranular plasticity. Coupling mechanical data with microstructural observations we note that shear is accommodated by a distributed deformation, accomplished through pervasive grain size reduction and the development of a pervasive foliation (Fig. 6). The anastomosing foliation (Fig. 6), the presence of densely-packed grains with sutured contacts and local grain indentations (Fig. 7B) suggest that fluid assisted dissolution and precipitation processes played an important role, inducing compaction and dissolution of smaller grains (e.g., Rutter 1983; Gratier and Gamond 1990). Large cemented portions of the experimental fault (Fig. 7A) represent a direct evidence of calcite precipitation and strengthens the hypothesis of the activity of fluid-assisted diffusion mass transfer (i.e., pressure-solution + transport + precipitation; Rutter 1983; Gratier et al., 2013). A further evidence of the role played by fluid assisted diffusion mass transfer in fault weakening can be inferred comparing mechanical data retrieved from an experiment conducted under nominally dry conditions (i.e., relative humidity <5%) and at high normal stress with the saturated experiments (Fig. 8). Under dry conditions the shear strength is high, $\mu = 0.7$, and we do not observe the characteristic strain weakening reported under saturated conditions (Fig. 8). Furthermore, the presence of folded grains (Fig. 7C) and densely-packed nanoparticles (Fig. 7E) that form the principal slip surfaces (Fig. 7D) indicates that granular plasticity was also active during deformation (Kennedy and Logan, 1998; Tesei et al., 2017).

250

To summarize, our microstructural observations suggest that at high normal stress (i.e., $\sigma_n > 20$ MPa) pressure-insensitive deformation mechanisms (i.e., pressure-solution flow and intracrystalline plasticity; Rutter, 1986) work together with pressure-sensitive mechanisms (i.e., cataclasis) in accommodating shear deformation. We suggest that with increasing normal stress, the activation of pressure-insensitive deformation mechanisms is responsible for the strain weakening

256 phase (Fig. 3B) and for the transition from a linear relationship between shear strength and normal
257 stress (i.e., purely pressure-sensitive) to a more non-linear relationship (i.e., less pressure-sensitive).
258 Since both the strain weakening phase and the departure from the linear behaviour are more evident
259 at slow slip velocities (Figs. 3B and 8), we posit that time-dependent mechanisms (i.e., pressure-
260 solution flow and granular plasticity) increase their role in accommodating shear deformation with
261 decreasing slip velocity because of the longer contact time between grains that favours their
262 dissolution.

263 The range of normal stresses investigated in our experiments together with saturated fluid
264 conditions allow us to get insights on the mechanics of carbonate-bearing faults at seismogenic depths
265 (between 1 and ~ 10 km). Our results suggest that the activation of fluid assisted diffusion mass
266 transfer and grain plasticity can significantly reduce the frictional strength of carbonate-bearing
267 faults, from 0.7 to 0.47 in friction, facilitating fault slip. This observation has important implications
268 for our understanding of frictional processes associated with the nucleation of unstable slip, when
269 slip velocity is still slow. In this context, fluid rock interaction weakens the fault favouring the onset
270 of slip. Then, as slip accelerates, the onset of dynamic slip will be controlled by the rate dependence
271 of friction and the local generation of high pore fluid pressure, which can promote seismic slip even
272 if the fault is characterized by rate strengthening behaviour (Scuderi et al., 2017). This mechanism is
273 appealing in relation to the seismicity observed along the Apennines, where the coupling of high fluid
274 pressure (e.g., Miller et al., 2004; Lucente et al., 2010) and fluid-rock interaction, can potentially
275 promote earthquake.

276 **5. Conclusion**

277 We investigated the coupling between mechanical and microstructural features of carbonate-
278 bearing faults by performing shear experiments on powdered Carrara Marble under saturated
279 boundary conditions. We explored a range of normal stresses ($5 \text{ MPa} \leq \sigma_n \leq 120 \text{ MPa}$) and slip
280 velocities ($0.3 \text{ } \mu\text{m/s} \leq v \leq 100 \text{ } \mu\text{m/s}$) to shed light on the time-dependent physico-chemical processes
281 that control the evolution of fault zone strength. We observe that an increase in normal stress promote

282 fault zone weakening through the activation of pressure-insensitive deformation mechanisms.
283 Comparing microstructures from low to high normal stress we report a transition from localized to
284 distributed deformation associated with the development of an anastomosed foliation. We suggest
285 that the different micromechanical processes, such as pressure solution flow and granular plasticity,
286 accommodating shear deformation are responsible for the evolution from a linear to a non-linear
287 Coulomb envelope.

288 Since the coexistence of cataclastic and pressure-insensitive deformation is a typical feature
289 of carbonate fault rocks exhumed from seismogenic depths, we suggest that the shear strength
290 weakening documented in our experiments is relevant for the mechanics of faults hosted in carbonate
291 sequences.

292 **Acknowledgments**

293 We thank Dr. Steven Smith and an anonymous reviewer, whose comments greatly improved
294 the manuscript. We also thank Brett Carpenter and Carolina Giorgetti for useful discussion,
295 Domenico Mannello (Mimmo) for high quality thin sections preparation, and Manuela Nazzari for
296 her technical assistance with the SEM at the HP-HT laboratory at INGV, Rome. This research was
297 supported by ERC Starting Grant nr. 259256 “GLASS” and Sapienza Progetti Ricerca Ateneo 2015
298 to CC, Horizon 2020 under the Marie Skłodowska-Curie action, No. 748400 STRAIN to TT, and
299 Horizon 2020 under the Marie Skłodowska-Curie action, No. 656676 FEAT to MMS.

300 **References**

- 301 Agosta, F., Aydin, A., 2006. Architecture and deformation mechanism of a basin-bounding normal
302 fault in Mesozoic platform carbonates, central Italy. *Journal of Structural Geology* 28, 1445–1467.
303 doi:10.1016/j.jsg.2006.04.006
- 304 Bernard, P., Briole, P., Meyer, B., Lyon-Caen, H., Gomez, J.M., Tiberi, C., Berge, C., Cattin, R.,
305 Hatzfeld, D., Lachet, C., Lebrun, B., Deschamps, A., Courboux, F., Larroque, C., Rigo, A.,
306 Massonnet, D., Papadimitriou, P., Kassaras, J., Diagourtas, D., Makropoulos, K., Veis, G.,
307 Papazisi, E., Mitsakaki, C., Karakostas, V., Papadimitriou, E., Papanastassiou, D., Chouliaras, M.,

308 Stavrakakis, G., 1997. The Ms=6.2, June 15, 1995 Aigion earthquake (Greece): evidence for low
309 angle normal faulting in the Corinth rift. *Journal of Seismology* 1, 131–150.

310 Berthé, Choukroune, Jegouzo, 1979. Orthogneiss, mylonite and non coaxial deformation of granites:
311 the example of the South Armorican Shear Zone. *Journal of Structural Geology* 1, 31–42.
312 [https://doi.org/10.1016/0191-8141\(79\)90019-1](https://doi.org/10.1016/0191-8141(79)90019-1)

313 Bullock, R.J., De Paola, N., Holdsworth, R.E., Trabucho-Alexandre, J., 2014. Lithological controls
314 on the deformation mechanisms operating within carbonate-hosted faults during the seismic cycle.
315 *Journal of Structural Geology* 58, 22–42. doi:10.1016/j.jsg.2013.10.008

316 Burchfiel, B.C., Royden, L.H., van der Hilst, R.D., Hager, B.H., Chen, Z., King, R.W., Li, C., Lü, J.,
317 Yao, H., Kirby, E., 2008. A geological and geophysical context for the Wenchuan earthquake of
318 12 May 2008, Sichuan, People's Republic of China. *GSA Today* 18, 4. doi:10.1130/gsatg18a.1

319 Carpenter, B.M., Collettini, C., Viti, C., Cavallo, A., 2016. The influence of normal stress and sliding
320 velocity on the frictional behaviour of calcite at room temperature: insights from laboratory
321 experiments and microstructural observations. *Geophysical Journal International* 205, 548–561.
322 doi:10.1093/gji/ggw038

323 Carpenter, B.M., Scuderi, M.M., Collettini, C., Marone, C., 2014. Frictional heterogeneities on
324 carbonate-bearing normal faults: Insights from the Monte Maggio Fault, Italy. *Journal of*
325 *Geophysical Research: Solid Earth* 119, 9062–9076. doi:10.1002/2014jb011337

326 Chen, J., Verberne, B.A., Spiers, C.J., 2015. Interseismic re-strengthening and stabilization of
327 carbonate faults by “non-Dieterich” healing under hydrothermal conditions. *Earth and Planetary*
328 *Science Letters* 423, 1–12. doi:10.1016/j.epsl.2015.03.044

329 Collettini, C., Carpenter, B.M., Viti, C., Cruciani, F., Mollo, S., Tesei, T., Trippetta, F., Valoroso, L.,
330 Chiaraluce, L., 2014a. Fault structure and slip localization in carbonate-bearing normal faults: An
331 example from the Northern Apennines of Italy. *Journal of Structural Geology* 67, 154–166.
332 doi:10.1016/j.jsg.2014.07.017

333 Collettini, C., Di Stefano, G., Carpenter, B., Scarlato, P., Tesei, T., Mollo, S., Trippetta, F., Marone,
334 C., Romeo, G., Chiaraluce, L., 2014b. A novel and versatile apparatus for brittle rock deformation.
335 International Journal of Rock Mechanics and Mining Sciences 66, 114–123.
336 doi:10.1016/j.ijrmms.2013.12.005

337 De Paola, N., Collettini, C., Faulkner, D.R., Trippetta, F., 2008. Fault zone architecture and
338 deformation processes within evaporitic rocks in the upper crust. Tectonics 27.
339 doi:10.1029/2007tc002230

340 De Paola, N., Holdsworth, R.E., Viti, C., Collettini, C., Bullock, R., 2015. Can grain size sensitive
341 flow lubricate faults during the initial stages of earthquake propagation? Earth and Planetary
342 Science Letters 431, 48–58. doi:10.1016/j.epsl.2015.09.002

343 Fredrich, J.T., Evans, B., Wong, T.-F., 1989. Micromechanics of the brittle to plastic transition in
344 Carrara marble. Journal of Geophysical Research 94, 4129–4145.

345 Govoni, A., Marchetti, A., De Gori, P., Di Bona, M., Lucente, F.P., Improta, L., Chiarabba, C., Nardi,
346 A., Margheriti, L., Agostinetti, N.P., Di Giovambattista, R., Latorre, D., Anselmi, M., Ciaccio,
347 M.G., Moretti, M., Castellano, C., Piccinini, D., 2014. The 2012 Emilia seismic sequence
348 (Northern Italy): Imaging the thrust fault system by accurate aftershock location. Tectonophysics
349 622, 44–55. doi:10.1016/j.tecto.2014.02.013

350 Gratier, JP, Gamond, JF, 1990. Transition between seismic and aseismic deformation in the upper
351 crust. Geological Society, London, Special Publications 54, 461–473.
352 <https://doi.org/10.1144/gsl.sp.1990.054.01.42>

353 Gratier, J. P., Dysthe, D.K., Renard, F., 2013. The Role of Pressure Solution Creep in the Ductility
354 of the Earth's Upper Crust, Advances in Geophysics, Advances in Geophysics. Elsevier.

355 Kennedy, L., Logan, J., 1998. Microstructures of cataclasites in a limestone-on-shale thrust fault:
356 implications for low-temperature recrystallization of calcite. Tectonophysics 295, 167–186.
357 [https://doi.org/10.1016/S0040-1951\(98\)00119-X](https://doi.org/10.1016/S0040-1951(98)00119-X)

358 Koopman, A., 1983. Detachment tectonics in the central Apennines, Italy.
359 <https://doi.org/10.1145/1056635.1056636>

360 Logan, J.M., Dengo, C.A., Higgs, N.G., Wang, Z.Z., 1992. Fabrics of Experimental Fault Zones:
361 Their Development and Relationship to Mechanical Behavior, *International Geophysics* 51, 33-
362 67

363 Logan, J.M., Friedman, M., Higgs, C., Dengo, C., Shimamoto, T., 1979. Experimental studies of
364 simulated gouge and their application to studies of natural fault zones, in: *Proc. 8th Conf. on*
365 *Analysis of Actual Fault Zones in Bedrock*, pp. 305–343.

366 Miller, S.A., Collettini, C., Chiaraluce, L., Cocco, M., Barchi, M.R., Kaus, B.J.P., 2004. Aftershocks
367 driven by a high-pressure CO₂ source at depth. *Nature* 427, 724–727. doi:10.1038/nature02251

368 Mirabella, F., Barchi, M.R., Lupattelli, A., Stucchi, E., Ciaccio, M.G., 2008. Insights on the
369 seismogenic layer thickness from the upper crust structure of the Umbria-Marche Apennines
370 (central Italy). *Tectonics* 27. doi:10.1029/2007tc002134

371 Paterson, M.S., 1958. Experimental deformation and faulting in Wombeyan Marble. *Geological*
372 *Society of America Bulletin* 69, 465-476.

373 Pizzi, A., Di Domenica, A., Gallovič, F., Luzi, L., Puglia, R., 2017. Fault Segmentation as Constraint
374 to the Occurrence of the Main Shocks of the 2016 Central Italy Seismic Sequence. *Tectonics* 84,
375 6140. doi:10.1002/2017tc004652

376 Ramsay, J., 1967. Folding and fracturing of rocks.

377 Rutter, E.H., 1986. On the nomenclature of mode of failure transitions in rocks. *Tectonophysics* 122,
378 381–387.

379 Rutter, E.H., 1983. Pressure solution in nature, theory and experiment. *Journal of the Geological*
380 *Society* 140, 725–740.

381 Sagy, A., Tesei, T., Collettini, C., 2017. Fault-surface geometry controlled by faulting mechanisms:
382 Experimental observations in limestone faults. *Geology* 45, 851–854.
383 <https://doi.org/10.1130/g39076.1>

384 Scott, D.R., Marone, C.J., Sammis, C.G., 1994. The apparent friction of granular fault gouge in
 385 sheared layers. *Journal of Geophysical Research* 99, 7231. doi:10.1029/93jb03361

386 Scuderi, M.M., Collettini, C., Marone, C., 2017. Frictional stability and earthquake triggering during
 387 fluid pressure stimulation of an experimental fault. *Earth and Planetary Science Letters* 477, 84–
 388 96. <https://doi.org/10.1016/j.epsl.2017.08.009>

389 Sibson, R.H., 1977. Fault rocks and fault mechanisms. *Journal of the Geological Society* 133, 191–
 390 213. doi:10.1144/gsjgs.133.3.0191

391 Siman-Tov, S., Aharonov, E., Sagy, A., Emmanuel, S., 2013. Nanograins form carbonate fault
 392 mirrors. *Geology* 41, 703–706. doi:10.1130/g34087.1

393 Smith, S.A.F., Billi, A., Di Toro, G., Spiess, R., 2011. Principal Slip Zones in Limestone:
 394 Microstructural Characterization and Implications for the Seismic Cycle (Tre Monti Fault, Central
 395 Apennines, Italy). *Pure and Applied Geophysics* 168, 2365–2393. doi:10.1007/s00024-011-0267-
 396 5

397 Storti, F., Billi, A., Salvini, F., 2003. Particle size distributions in natural carbonate fault rocks:
 398 insights for non-self-similar cataclasis. *Earth and Planetary Science Letters* 206, 173–186.
 399 doi:10.1016/s0012-821x(02)01077-4

400 Tesei, T., Carpenter, B.M., Giorgetti, C., Scuderi, M.M., Sagy, A., Scarlato, P., Collettini, C., 2017.
 401 Friction and scale-dependent deformation processes of large experimental carbonate faults.
 402 *Journal of Structural Geology* 100, 12–23. doi:10.1016/j.jsg.2017.05.008

403 Tesei, T., Collettini, C., Barchi, M.R., Carpenter, B.M., Di Stefano, G., 2014. Heterogeneous strength
 404 and fault zone complexity of carbonate-bearing thrusts with possible implications for seismicity.
 405 *Earth and Planetary Science Letters* 408, 307–318. doi:10.1016/j.epsl.2014.10.021

406 Tesei, T., Collettini, C., Viti, C., Barchi, M.R., 2013. Fault architecture and deformation mechanisms
 407 in exhumed analogues of seismogenic carbonate-bearing thrusts. *Journal of Structural Geology*
 408 55, 167–181. doi:10.1016/j.jsg.2013.07.007

409 Valoroso, L., Chiaraluce, L., Collettini, C., 2014. Earthquakes and fault zone structure. *Geology* 42,
410 343–346. doi:10.1130/g35071.1

411 Ventura, G., Di Giovambattista, R., 2012. Fluid pressure, stress field and propagation style of
412 coalescing thrusts from the analysis of the 20 May 2012 ML5.9 Emilia earthquake (Northern
413 Apennines, Italy). *Terra Nova* 25, 72–78. doi:10.1111/ter.12007

414 Verberne, B.A., de Bresser, J.H.P., Niemeijer, A.R., Spiers, C.J., de Winter, D.A.M., Plümper, O.,
415 2013. Nanocrystalline slip zones in calcite fault gouge show intense crystallographic preferred
416 orientation: Crystal plasticity at sub-seismic slip rates at 18–150 °C. *Geology* 41, 863–866.
417 doi:10.1130/g34279.1

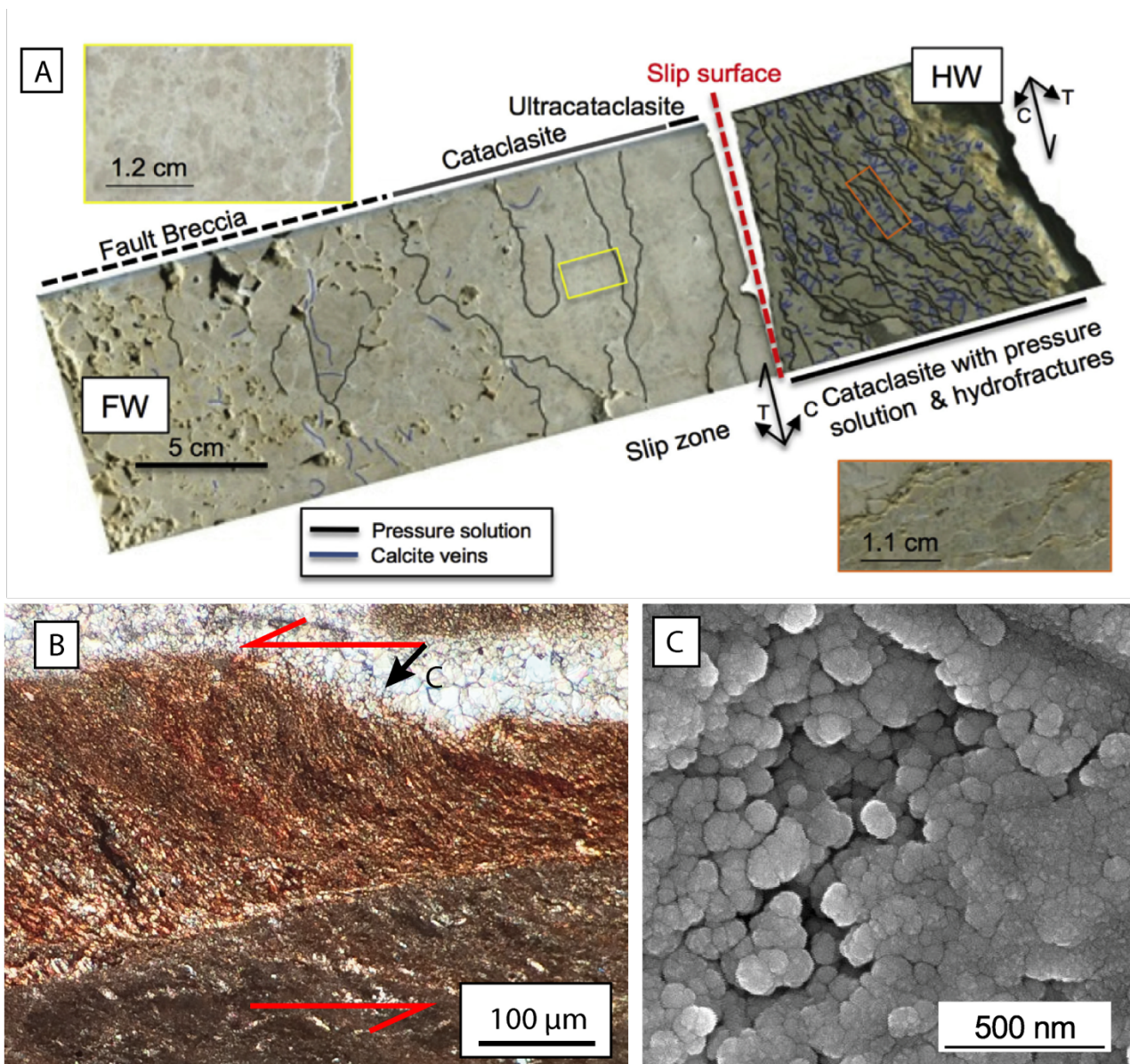
418 Verberne, B.A., He, C., Spiers, C.J., 2010. Frictional Properties of Sedimentary Rocks and Natural
419 Fault Gouge from the Longmen Shan Fault Zone, Sichuan, China. *Bulletin of the Seismological*
420 *Society of America* 100, 2767–2790. doi:10.1785/0120090287

421 Verberne, B.A., Niemeijer, A.R., de Bresser, J.H.P., Spiers, C.J., 2015. Mechanical behavior and
422 microstructure of simulated calcite fault gouge sheared at 20–600°C: Implications for natural faults
423 in limestones. *J. Geophys. Res.* 120, 8169–8196. doi:10.1002/2015jb012292

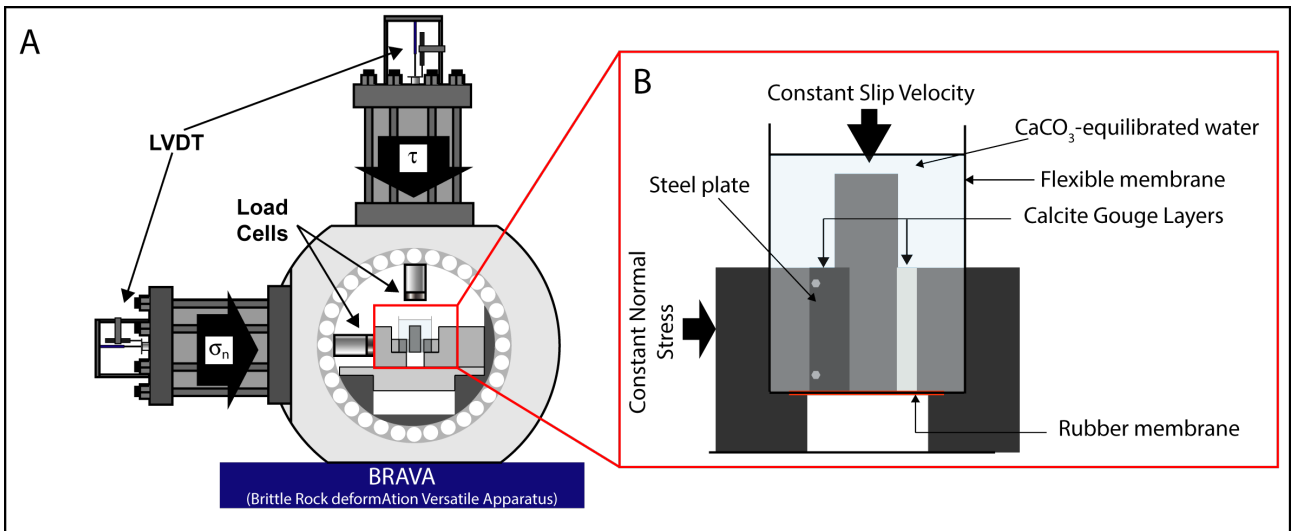
424 Verberne, B.A., Spiers, C.J., Niemeijer, A.R., De Bresser, J.H.P., De Winter, D.A.M., Plümper, O.,
425 2014. Frictional Properties and Microstructure of Calcite-Rich Fault Gouges Sheared at Sub-
426 Seismic Sliding Velocities. *Pure and Applied Geophysics* 171, 2617–2640. doi:10.1007/s00024-
427 013-0760-0

428 Viti, C., Collettini, C., Tesei, T., 2014. Pressure solution seams in carbonatic fault rocks: mineralogy,
429 micro/nanostructures and deformation mechanism Contributions to Mineralogy and Petrology
430 167. doi:10.1007/s00410-014-0970-1

431 Wells, R.K., Newman, J., Wojtal, S., 2014. Microstructures and rheology of a calcite-shale thrust
432 fault. *Journal of Structural Geology* 65, 69 – 81. <https://doi.org/10.1016/j.jsg.2014.04.002>
433



434
 435 Figure 1 – Coexistence of pressure-sensitive (i.e., cataclasis) and pressure-insensitive deformation in
 436 carbonate fault rocks exhumed from seismogenic depths in the Northern Apennines. A) Typical
 437 cataclastic products (Fault Breccia, Cataclasite and Ultracataclasite; Sibson, 1977) are found together
 438 with pressure-solution seams in a transect through the core Monte Maggio fault, which display strong
 439 localization of slip also at the sample scale (Collettini et al., 2014a). B) Anastomosed foliation with
 440 S-geometry nearly orthogonal to the compression component (C) of the shear couple. This
 441 microstructure formed by pressure-solution and re-precipitation processes along a carbonate/clay
 442 fault (Tesei et al., 2013; Viti et al., 2014). C) Nanometer-scale subgrains in the Monte Maggio fault
 443 (De Paola et al., 2015).



444

445 Fig. 2 – (A) The biaxial servo-controlled apparatus used for this study (BRAVA in INGV, Rome;
 446 Collettini et al., 2014b). Horizontal and vertical load cells and LVDTs control and measure
 447 respectively loads and displacements. (B) The double direct shear configuration: two identical layers
 448 of gouge are comprised between three steel forcing blocks; a constant horizontal load is applied
 449 laterally and the central block is moved downward with constant velocity inducing symmetric shear
 450 within gouge layers.

451

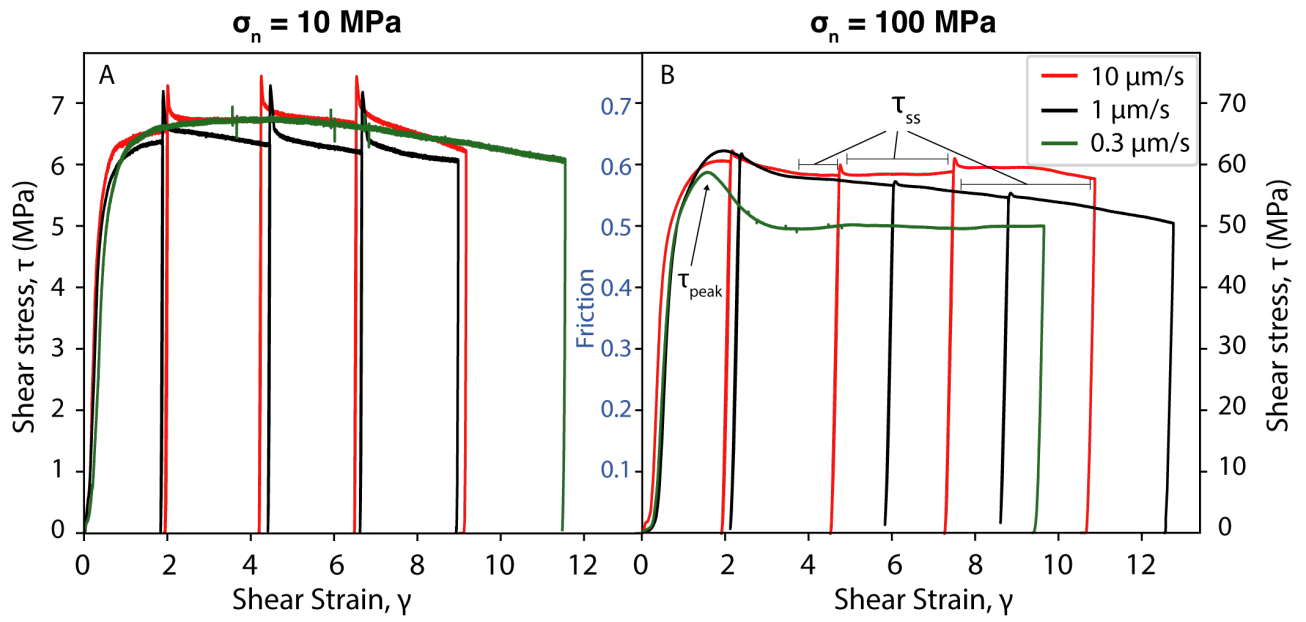
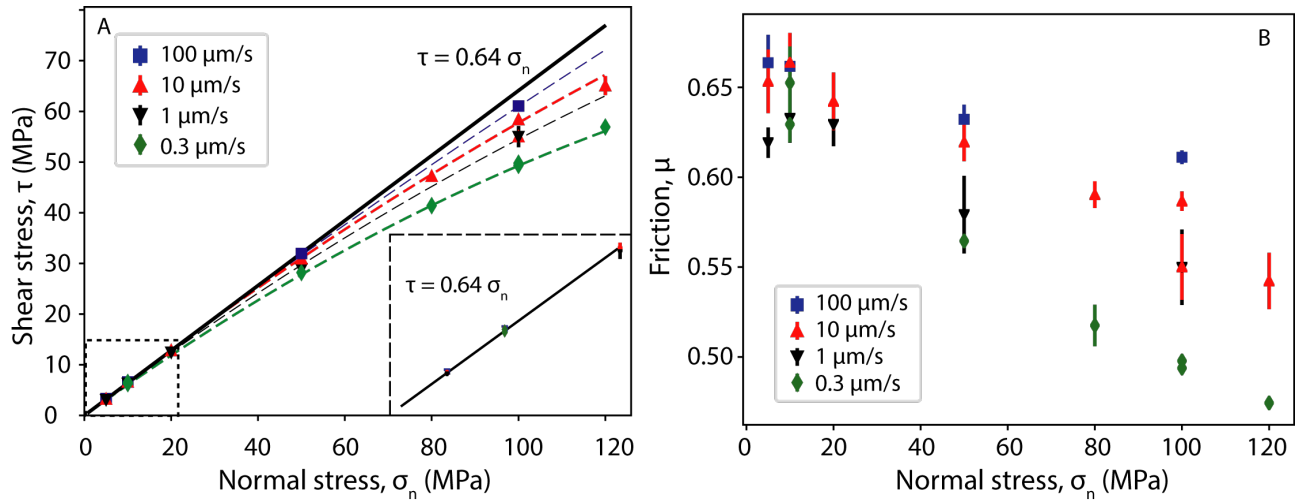


Fig. 3 – Shear stress (τ) and friction (μ) evolution with shear strain (γ) for experiments performed at (A) 10 and (B) 100 MPa at different slip velocities. The portion of the curve where peak and steady-state shear stress were collected, see methods for details, is marked by τ_{peak} and τ_{ss} respectively.



457

458 Fig. 4 – A) Evolution of shear strength τ vs. normal stress σ_n , for different slip velocities. Black line
 459 represents Mohr-Coulomb failure envelope for experiments performed at $\sigma_n \leq 20$ MPa (inset). At
 460 high normal stresses and slow slip velocities, a second-order polynomial function provides a better
 461 fit than the classical linear Coulomb regression data. B) Mean steady-state friction coefficient, μ_{ss} ,
 462 plotted against normal stress for different slip velocities. The variability of friction observed during
 463 each experiment is represented by the vertical bars (see methods for details).

464

$$\sigma_n = 10 \text{ MPa}$$

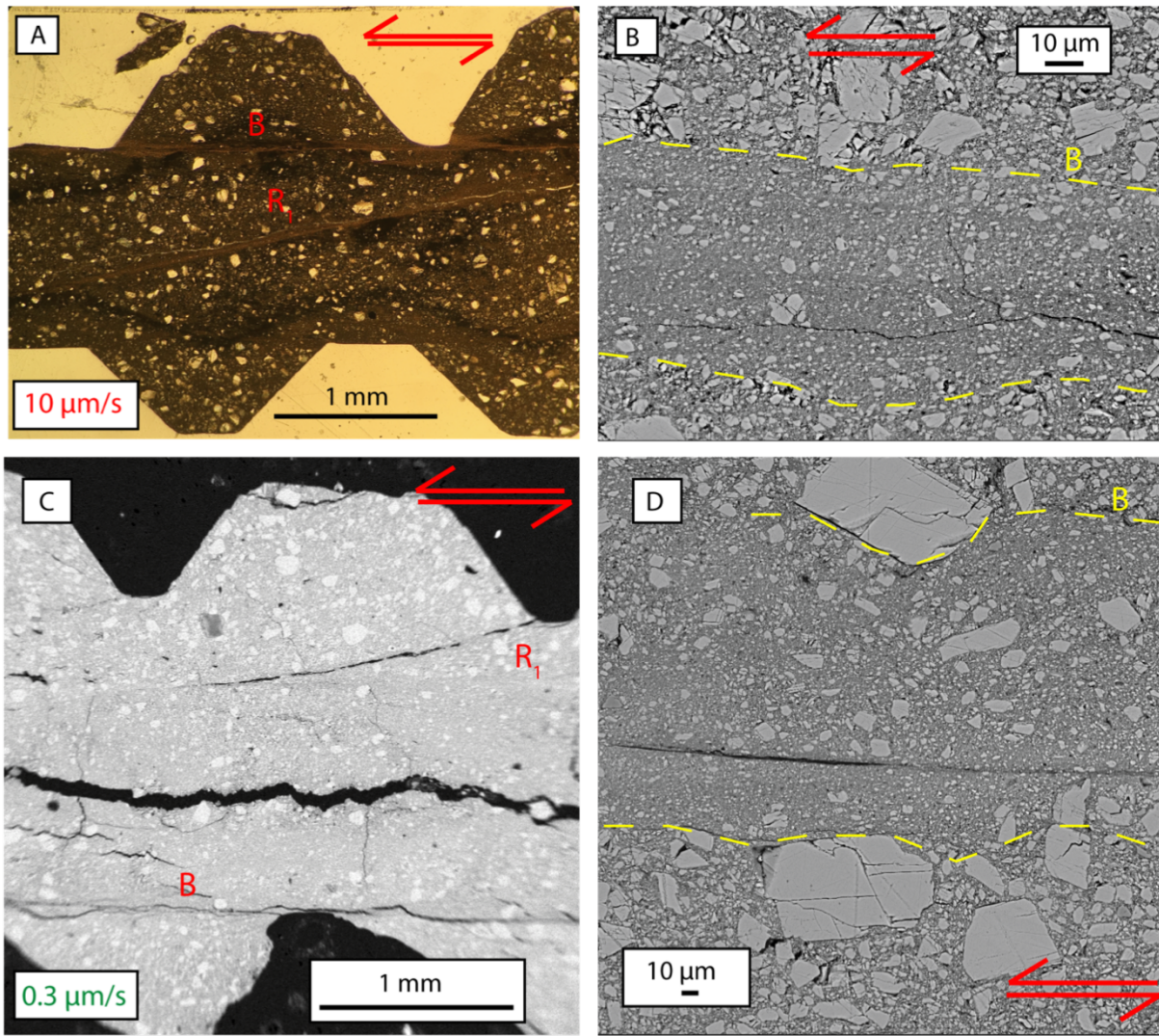
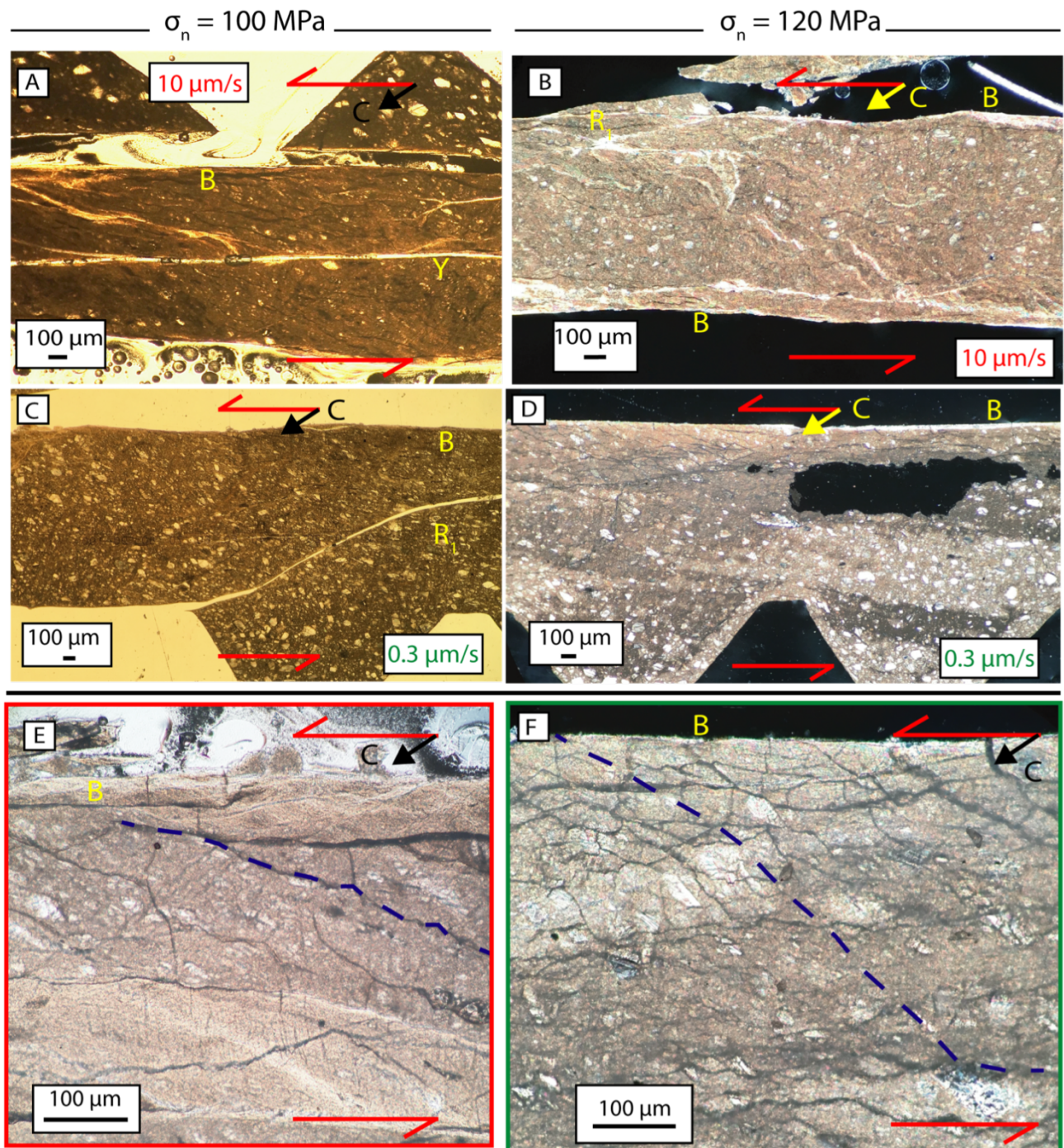
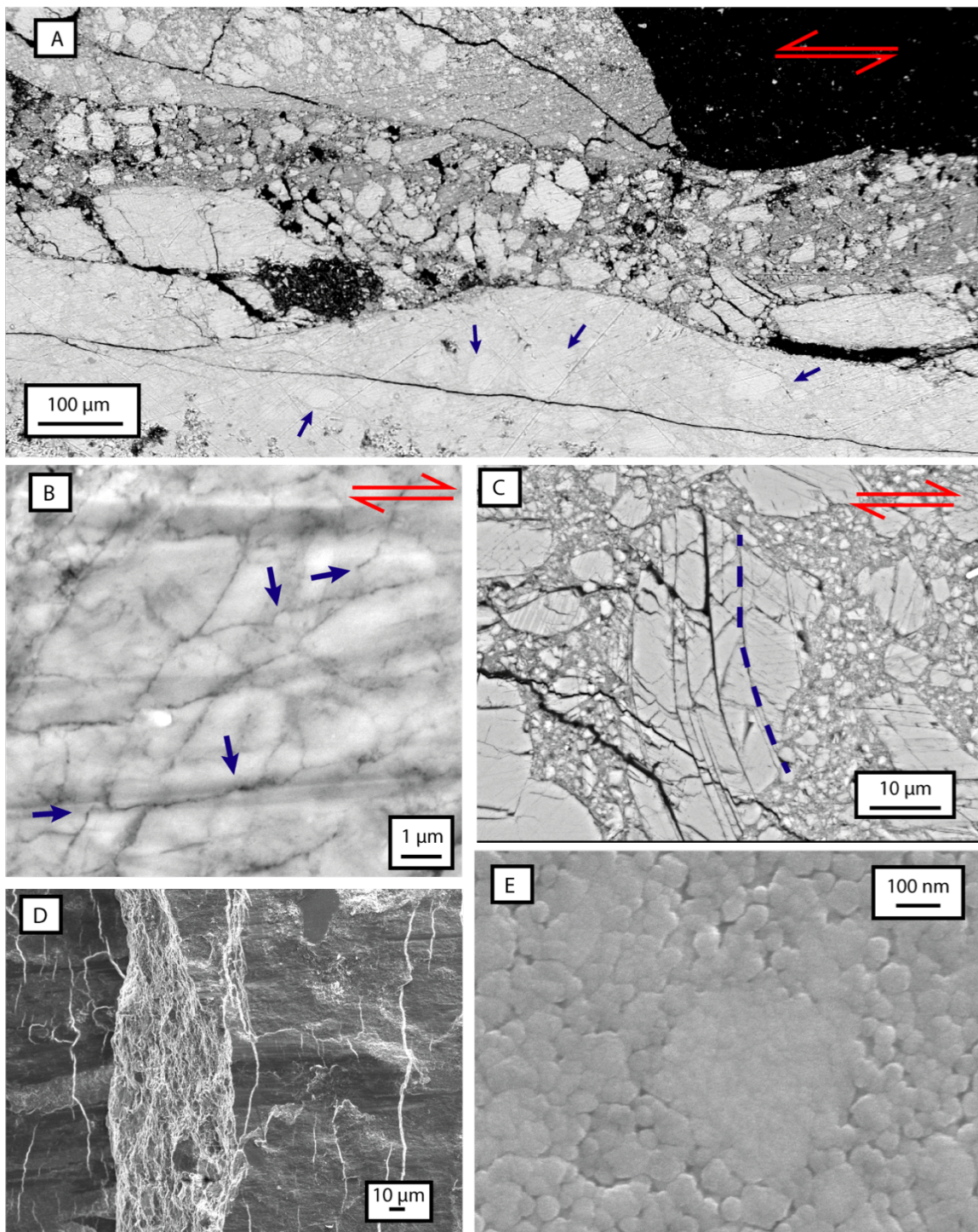


Fig. 5 – Microstructures of simulated calcite fault gouges deformed at low normal stress ($\sigma_n = 10$ MPa) and at slip velocity of $10 \mu\text{m/s}$ (A, B) and $0.3 \mu\text{m/s}$ (C, D). Figures A and B are from experiment b555, whilst figures C and D are from the experiment b651 (see Table 1). Deformation localizes into B and R_1 zones (A, C) that are represented by 100 to 200 μm -thick shear zones characterized by higher grain comminution than the bulk gouge layer (B, D). Figure A is an optical micrograph (plane polarized light), whilst B, C, D are from SEM microscope in backscattered mode.

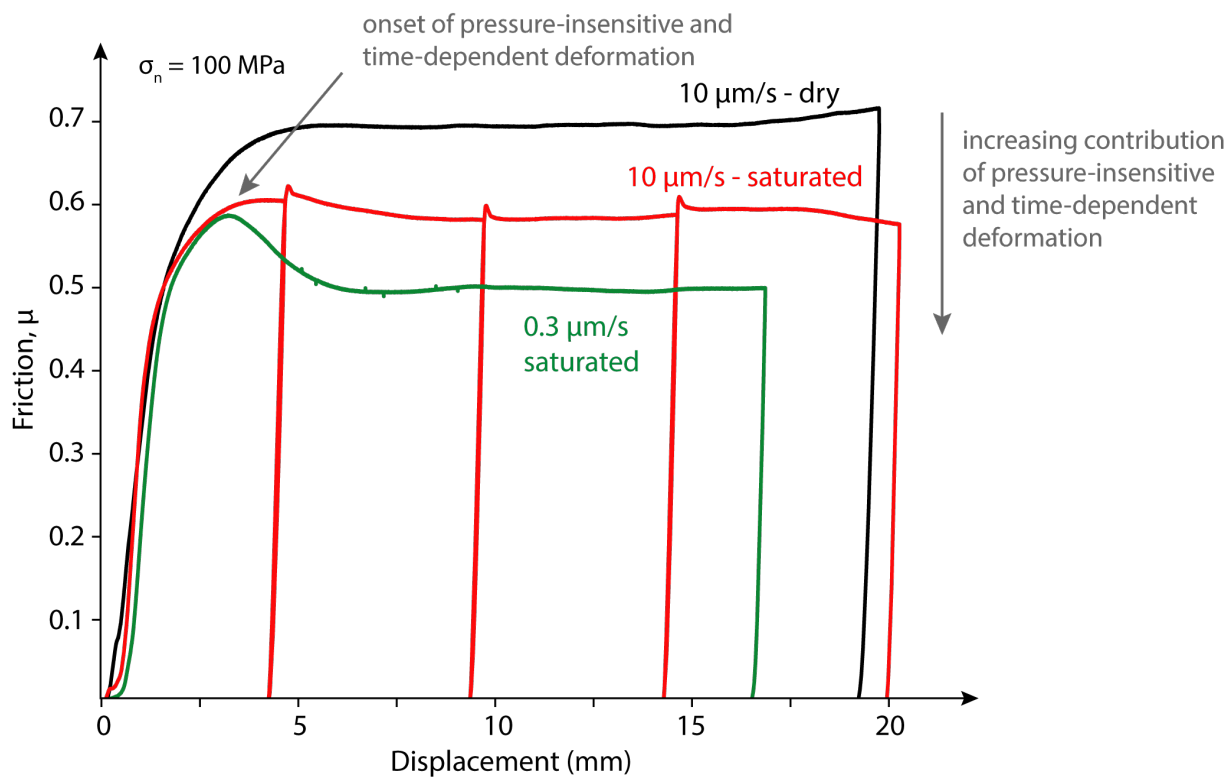


473
 474 Fig. 6 – Optical micrographs of simulated fault gouges deformed at a relatively high normal stress
 475 ($\sigma_n = 100$ MPa for the left column and $\sigma_n = 120$ MPa on the right one) and at slip velocity of $10 \mu\text{m/s}$
 476 (A, B, E) and $0.3 \mu\text{m/s}$ (C, D, F). Figures A, C and E derive from observations at plane polarized
 477 light, whilst figures B, D and E are from observation at cross polarized light. The micrographs are
 478 derived from experiments b564 (A, E), b641 (B), b639 (C) and b640 (D, F); experiments are listed in
 479 Table 1. Deformation is distributed within the entire gouge layer (A, B, C, D) and is characterized by

480 strong grain size reduction and the development of an anastomosed foliation, which is interpreted as
481 oriented orthogonal to the normal stress component, C , of the shear couple. Grain comminution is
482 more pronounced at higher slip velocities (Fig. A vs C and Fig. B vs. D). A detail of the anastomosed
483 foliation at a slip velocity of $10 \mu\text{m/s}$ and $0.3 \mu\text{m/s}$ is presented in Fig. E and F respectively.
484



485
 486 Fig. 7 – SEM micrographs in simulated calcite fault gouges deformed under relatively high normal
 487 stresses, i.e., 120 (A, B) and 100 MPa (C, D, E). A) Large cemented portion of the gouge deformed
 488 during the experiment b640 (Table 1). Blue arrows indicate grains within the cemented portion. B)
 489 Sutured grain contacts with indentations (blue arrows) in the experiment b640 (Table 1). C) Folded
 490 calcite grain in the experiment b564 (Table 1). Shear zones are often striated (D) and constituted by
 491 dense aggregates of nanograins (E); the figures D and E are from the experiment b675.



493

494 Fig. 8 – Evolution of friction with displacement for experiments at normal stress of 100 MPa in
 495 saturated conditions at a slip velocity of 0.3 $\mu\text{m/s}$ (green) and 10 $\mu\text{m/s}$ (red) and for an experiment
 496 performed dry and at slip velocity of 10 $\mu\text{m/s}$.

497

Experiment name	Normal stress, (σ_n) (MPa)	Slip velocity ($\mu\text{m/s}$)	Total Displacement (mm)	Total shear strain (γ)
b555	10	10	19.6	10.3
b556	20	10	19.9	8.5
b561	50	10	19.9	10.4
b562	5	10	20.3	5.8
b563	10	100	20.3	9.9
b564	100	10	20.3	12.3
b566	50	100	20.2	10.4
b567	5	100	20.2	7.9
b568	100	100	19.8	11.6
b600	100	1	21.3	14.6
b602	50	1	20.4	9.1
b603	10	1	21.2	10.1
b604	10	0.3	8.2	3.6
b605	50	0.3	7.2	3.8
b606	100	0.3	5.7	3.9
b631	20	1	19.2	8.5
b638	5	1	19.6	10.3
b639	100	0.3	16.9	9.6
b640	120	0.3	13.8	7.6
b641	120	10	18.5	12.0
b642	80	10	18.6	13.0
b643	80	0.3	18.4	11.9

b651	10	0.3	20.0	11.6
b675	100	10	13.6	9.7

498 Table 1 – Summary of experiments and boundary conditions. All tests were conducted under CaCO₃-
499 equilibrated water saturated conditions.